

**PROJECT SPECIFICATIONS  
For  
HOPE STREET PARKING LOT IMPROVEMENTS**



**Niantic, Connecticut**

**Paul Formica, First Selectman**

**June , 2011**

By  
T o w n o f E a s t L y m e E n g i n e e r i n g  
D e p a r t m e n t

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108 Pennsylvania Ave.  
Niantic, CT 06357  
(860) 739-6931 Ext. 101

## **INDEX TO SPECIAL PROVISIONS**

Note: This index has been prepared for the convenience of those using this contract with the sole express purpose of locating quickly the information contained herein; and no claims shall arise due to omissions, additions, deletions, etc., as this index shall not be considered part of the contract.

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Sht. No. 1-6

## **PART 1 – BID MATERIAL**

**HOPE STREET PARKING LOT IMPROVEMENTS  
EAST LYME, CONNECTICUT  
INVITATION TO BID  
(#2011-02)**

Sealed bids for the construction of the following project will be received by the Town of East Lyme, Connecticut at the East Lyme Town Hall Engineering Office, 108 Pennsylvania Avenue, until **2:00 p.m., Thursday, July 7, 2011,** after which time they will be publicly opened and read aloud.

The work consists of the construction of an expanded existing municipal off-street parking lot on Hope Street with pedestrian access to Main Street (Rte. 156). The Parking Lot Improvements consists of bituminous pavement, bituminous curb, concrete sidewalk, porous concrete sidewalk, sawcut pavement for island treatment, storm drainage piping and structures, light bases and conduits; demolition/disposal of pavement, curbing, and a 2-story building; and tree removal.

The Town of East Lyme hereby notifies all bidders that it will affirmatively insure that in any contract entered into pursuant to this advertisement, disadvantaged business enterprises will be afforded full opportunity to submit bids in response to this invitation, and that they will not be discriminated against on the grounds of race, color, national origin or sex, in consideration for this award.

Plans, specifications and proposals for the above project may be reviewed under the Public Works menu at [www.eltownhall.com](http://www.eltownhall.com) or at the East Lyme Town Hall Engineering Office. On the web: Addendums will also be posted on this website. Inclusion of the addendums with the bid package may be required. To be on a bidders list call (860) 739-6931 x141 or MWright@eltownhall.com. To ensure inclusion on the bidders list request a response email. They may be obtained for a non-refundable fee of thirty-five dollars (\$35.00).

The minimum rates to be paid labor at the various classifications shall be in accordance with current schedule of wages established by the State Labor Commissioner as provided in the General Statutes of Connecticut, as revised.

All bidders are required to inform themselves fully of the conditions relating to the construction and labor under which the work will be or is now being performed and the Contractor shall employ, as far as possible, such methods and means in the carrying out of this work as will not cause interruption or interference with any other contractor.

Bid security in the form of a Surety Company Bond, on form furnished by the Town of East Lyme for 5% of the amount of the bid, must accompany each proposal. The Town of East Lyme reserves the right to reject any and all bids.

## **BID INSTRUCTIONS AND GENERAL CONDITIONS**

1. "Town" refers to the Town of East Lyme. "Town Engineer" refers to the Town Engineer or his representative. "Contractor" refers to successful bidder (company contracted by Town to perform work under this contract).
2. Bids must be made on the attached bid form and shall be enclosed in a sealed envelope which shall be labeled with the bidder's name and address in the upper left-hand corner and which shall be entitled "**Hope Street Parking Lot Improvements**". Sets may be obtained at the Town of East Lyme Engineering Office.
3. Bids shall be received at the office of the East Lyme Town Engineer at the East Lyme Town Hall, Niantic, Connecticut, until **Thursday, July 7, 2011** and then at said office publicly opened and read aloud. The award shall be made at a later date by the Town.
4. Each bidder's proposal shall include, completed in full: ***Bid Proposal Submission Checklist (1.6), Equipment List (1.7), Project References (1.8), Bid Bond (1.9 – 1.10), Non Collusion Affidavit (1.12), Bid Proposal Form (1.14 – 1.15), CHRO-Contract Compliance Regulations (Notification to Bidders Form (2.5 – 2.9))***, and any other specifications pages requiring vendor response shall be enclosed in an envelope which shall be sealed and clearly labeled with the words "**Bid Proposal, Hope Street Parking Lot Improvements, the Bidder's Name, and the Date and Time of the Bid Opening,**" in order to guard against premature opening of the bid.
5. Bids must be made out and signed in the name of the person or business entity which shall perform the work, and if a corporation, it must be fully and properly executed by a person authorized to act on behalf of the corporation.
6. Bids received later than the time and date specified shall not be considered.
7. Bids may be withdrawn prior to the time set for opening bids, but bids may not be re-filed after they have been withdrawn.
8. Unbalanced bids shall not be considered in awarding contract.
9. The low bidder, for purposes of award shall be the reasonable and responsible bidder offering the low aggregate amount for the "Total Base Bid Price" within funds available for the project.
10. The Town of East Lyme reserves the right to reject any or all bids, and to waive informalities or technical defects.
11. Goods and services provided to the Town of East Lyme are exempt from Federal Excise Taxes and the Sale and Use Tax of the State of Connecticut.
12. Bids must be accompanied by a surety bond in the amount of five percent (5%) of the total bid price payable to the Town of East Lyme. The bond shall be in the form of a certified check or Bid Bond. Said bond shall be returned to the unsuccessful bidders upon award of

the contract or, if no award has been made, within ninety (90) days after the opening of bids. If the successful bidder fails to execute the contract and furnish the required bonds including insurance coverage within ten (10) calendar days after he has received notice of the acceptance of the bid, the bond shall be forfeited to the Town. Upon receipt of a payment bond, and a performance bond from the successful bidder, said bond shall be returned.

13. The bidder agrees that this bid shall remain open for acceptance for ninety (90) days after the opening and no bidder may withdraw his bid within said time period.
14. The successful bidder must furnish a performance bond and a payment bond in the amount of the construction costs. The bonds shall be executed by the bidder as principal with a surety satisfactory to the Town.
15. The successful bidder shall secure and maintain such insurance as shall protect him from claims under Workers' Compensation Acts. He shall secure and maintain general liability injury, death or property damage, which may arise from the performance of his service under this contract. See Insurance requirements for minimum insurance requirements. He shall designate the Town and the State of Connecticut as additional named insureds in his general liability policy, and shall furnish the Town with a certificate or other proof of insurance which he, as part of this contract, must carry. The "Hold Harmless" endorsement of the insurance shall include the interest of the Town of East Lyme and the State of Connecticut. The Contractor and Subcontractors and other interests shall be so named. This policy shall insure against all risks of physical damage except as modified by the Contract Documents and subject to the normal all risk exclusions. The provisions of this paragraph shall apply to and be incorporated into any subcontracts regarding this project between the successful bidder and his subcontractors.
16. The Town shall not award this contract unless the Contractor furnishes satisfactory evidence of his/her ability and experience to perform this work and to complete it within the time specified in the contract. As part of this proposal, the Contractor and Subcontractors shall complete the attached Statement of Bidders Qualifications, which shall describe similar and successfully completed jobs. Relevance to the proposed job shall be determined by the Town. The name, address and telephone number of a contact person involved with each of these projects must be included so that they can be contacted prior to executing a contract.
17. The successful bidder shall indemnify and hold harmless the Town against any liability arising out of negligent acts, errors, or omissions of the bidder, his employees or agents.
18. The successful bidder must be prepared to execute the contract within ten (10) calendar days after receipt of notice of the award of the contract.
19. Immediately after execution and delivery of the contract, and prior to commencing work, the Contractor shall provide the East Lyme Engineering Department a construction project schedule showing proposed dates of commencement and completion of each of the various components of work required under this Contract.

20. The successful bidder must be prepared to commence work on **July 18, 2011**. The approximate project schedule is as follows:

<b>July 7, 2011</b>	<b>Bid Opening</b>
<b>July 18, 2011</b>	<b>Notice of Award from Town</b>
<b>July 18, 2011</b>	<b>Contract signing / Notice to Proceed</b>
<b>July 18, 2011</b>	<b>Begin construction</b>
<b>August 31, 2011</b>	<b>Contract completion date</b>

21. If the contractor is delayed in the completion of the work by changes ordered in the work, or by weather conditions, strikes, lockouts, fire, unusual delay by common carriers or other causes beyond the contractor's control, he shall make a written request for an extension of time within which the contract may be completed. Such request shall be submitted to the Town not less than ten (10) days before the date on which the work described in the contract is to be completed. Any such extension shall be in writing, and signed by the Town's representative.
22. In accordance with section 1.09.06 of the 816A, the contractor may request monthly or semi-monthly payments for the value of work performed in accordance with the Contract, calculated at Contract unit prices for work that is complete and accepted in place. Upon completion of the project, the contractor shall submit a statement (minus retainage) for payment which shall be paid within fifteen (15) days after approval of same by the First Selectman and following receipt of all lien waivers. Acceptance of such payment by the contractor shall constitute a release of all claims against the Town arising under or by virtue of this contract except such claims, if any, as may be specifically exempted from the operations of the release by the contractor in his statement for payment.
23. The Town shall retain an amount equal to two percent of the final contract price for one year from time of contract completion. This retainage shall serve as a one-year guarantee on all work associated with this contract and shall be used to correct any construction deficiencies which may arise for one year following completion of this contract.
24. Prior to final payment, the contractor shall provide the Town with lien waivers verifying payment to all subcontractors for amounts due, whether for labor performed or materials furnished, when either is associated with this contract.
25. The General Contractor shall include in each of its subcontracts a provision requiring each subcontractor to pay any amounts due any of its subcontractors, whether for labor performed or materials furnished, within 30 days after such labor performed or for materials furnished.
26. The Town Engineering Department shall perform all construction inspection. The contractor is to notify the Town Engineer of any discrepancies as they arise, and proceed as directed.
27. The Town Engineering Department must be given 24 hours notice to complete inspection. Notice applies to consecutive Town recognized workdays. Inspectors are not available on weekends and Town approved holidays. Activities requiring Town inspections (i.e. paving, concrete pours, backfilling, etc.) must be accomplished when inspectors are available. **It is the contractor's responsibility to verify holidays and no-inspection coverage ahead of time.**



28. The contractor agrees that the Town may make changes to the plans for the work that may be deemed necessary during the progress of work. The Town may also change the amount of work to be performed under this contract without invalidating this contract. If any such changes are made, they shall be made by written change order signed by the Town's representative. If such changes affect work for which a lump sum price is fixed, the written change order shall specify the amount by which the lump sum shall be increased or decreased. If such changes affect work for which a unit price is set, payment for such work shall be based on measured final quantities and not estimated quantities. Final measured quantities shall be based on pay limits as established by the plans and specifications for this contract. There shall be no adjustment of the *unit prices* if final measured quantities vary from the estimated quantities.
29. The Town of East Lyme shall provide horizontal and vertical control on the project. The contractor is responsible for horizontal and vertical layout as may be required for the installation of the improvements.
30. Unless otherwise indicated in this Bid Document, "Description," "Materials," "Construction Methods," "Method of Measurement," and "Basis of Payment" for all items shall conform to Form 816 (2004) or latest revision "State of Connecticut Department of Transportation, Standard Specifications for Roads, Bridges and Incidental Construction."
31. The Contractor is responsible for locating all underground utilities by notifying "Call Before You Dig" in compliance with Public Act 77-350 and 81-146.
32. Maintenance and protection of traffic is the Contractor's responsibility. The contractor must meet with the Town Engineer or his representative prior to the start of any construction activity associated with this project in order to discuss procedures concerning maintenance and protection of traffic (including pedestrian traffic) and project construction sequencing.
33. The Contractor shall satisfy all the requirements and conditions as listed in the Specifications section of this Invitation to bid.
34. The Contractor shall assume all liability for claims resulting from damage or injury associated with this project including the maintenance and protection of traffic.
35. Should a dangerous or potentially unsafe condition arise affecting pedestrian or vehicular traffic, the Contractor shall immediately stop the project, make every reasonable effort to correct the situation, and notify the Town Engineer or the police if warranted.

END OF INSTRUCTIONS AND GENERAL CONDITIONS

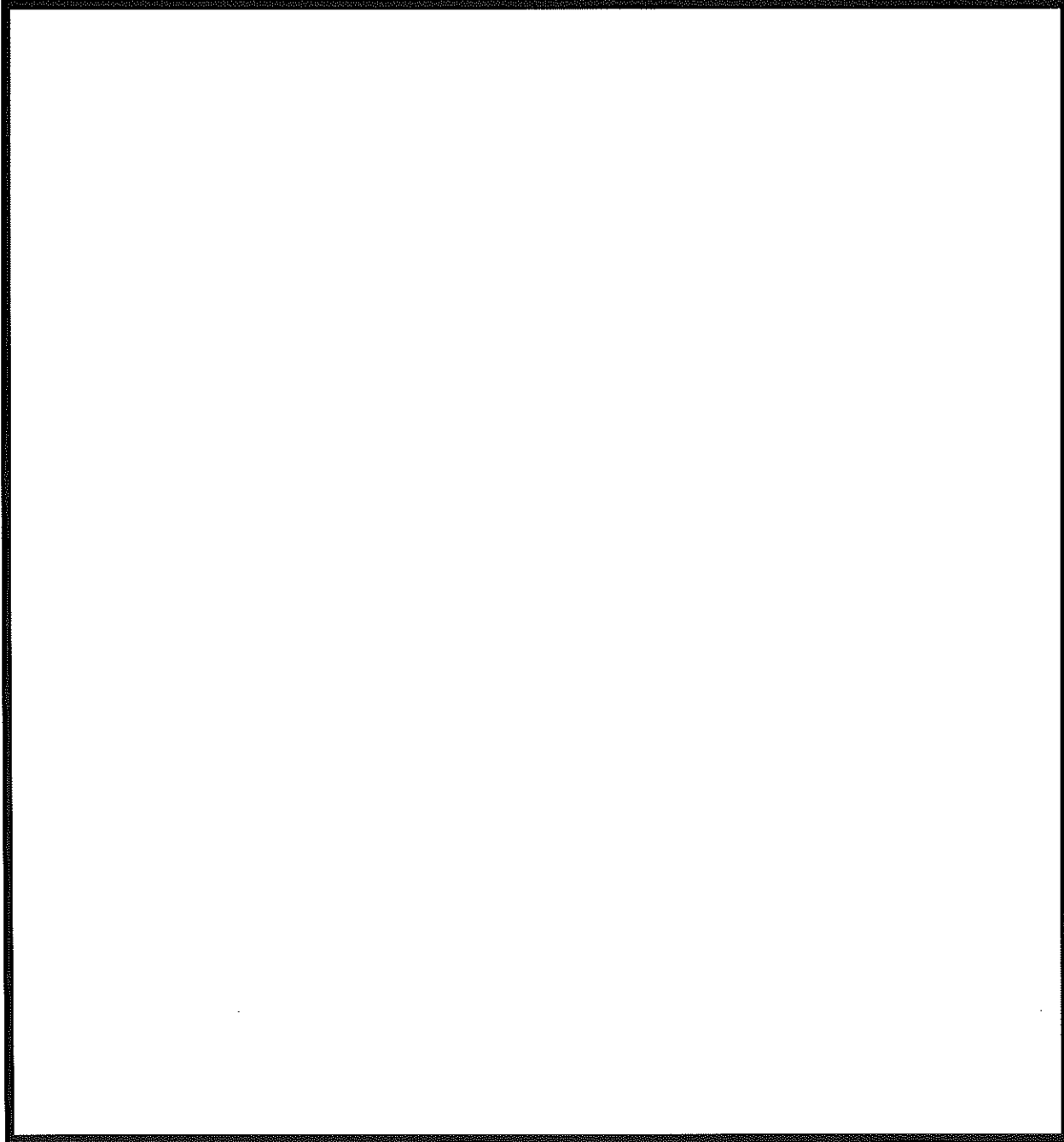
## BID PROPOSAL SUBMISSION CHECKLIST

The following is a checklist of required documents to be completed, included and submitted to the East Lyme Engineering Department as part of the Bidder's proposal:

- EQUIPMENT LIST
- PROJECT REFERENCES
- BID BOND
- NON COLLUSION AFFIDAVIT
- BID PROPOSAL FORM
- CHRO-CONTRACT COMPLIANCE REGULATIONS; NOTIFICATION TO BIDDERS FORM

## EQUIPMENT LIST

List below all equipment that shall be used to complete the project described in this price proposal form. This list must be complete; describe all equipment as to type and size. The following forms must be submitted with the Price Proposal Form.

A large, empty rectangular box with a thick black border, intended for the bidder to list all equipment to be used for the project. The box is currently blank.

**PROJECT REFERENCES**



(Please attach additional sheets if necessary.)

**BID BOND**

KNOW ALL MEN BY THESE PRESENTS, that we, the undersigned,  
\_\_\_\_\_ as Principal, and \_\_\_\_\_ as  
Surety, are hereby held and firmly bound unto \_\_\_\_\_ as OWNER in the  
penal sum of \_\_\_\_\_ for the payment of which, well and truly to be made,  
we hereby jointly and severally bind ourselves, successors and assigns.

Signed, this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_ The Condition of the above  
obligation is such that whereas the Principal has submitted to \_\_\_\_\_ a certain BID,  
attached hereto and made part hereof to enter into a contract in writing, for the  
\_\_\_\_\_.

NOW, THEREFORE,

- (a) If said BID shall be rejected, or
- (b) If said BID shall be accepted and the Principal shall execute and deliver a contract in the Form of Contract attached hereto (properly completed in accordance with said BID) and shall furnish a BOND for his faithful performance of said contract, and for the payment of all persons performing labor or furnishing materials in connection therewith, and shall in all other respects perform the agreement created by the acceptance of said BID,

then this obligation shall be void, otherwise the same shall remain in force and effect; it being expressly understood and agreed that the liability of the Surety for any and all claims hereunder shall, in no event, exceed the penal amount of this obligation as herein stated.

The surety, for value received, hereby stipulates and agrees that the obligations of said Surety and its BOND shall be in no way impaired or affected by any extension of the time within which the OWNER may accept such BID, and said Surety does hereby waive notice of any such extension.

IN WITNESS WHEREOF, the Principal and the Surety have hereunto set their hands and seals, and such of them as are corporations have caused their corporate seals to be hereto affixed and these presents to be signed by their proper officers, the day and year set forth above.

\_\_\_\_\_  
Principal (L.S.)

\_\_\_\_\_  
Surety

By: \_\_\_\_\_

IMPORTANT - Surety companies executing BONDS must appear on the United States Treasury Department's most current list and be authorized to transact business in the state where the project is located.

SWORN STATEMENT BY SUCCESSFUL BIDDER

Title 23. United States Code, Section 112 (f)

Each bidder shall file a statement executed by, or on behalf of the person, firm, association, or corporation submitting the bid certifying that such person, firm, association, or corporation has not, either directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken any action, in restraint of free competitive bidding in connection with the submitted bid. Failure to submit the executed statement as part of the bidding documents will make the bid non-responsive and not eligible for award consideration.

**NON COLLUSION AFFIDAVIT**

This entire document must be completed, notarized and attached to your bid proposal. Failure to do so will result in the rejection of your Bid.

A separate affidavit must be submitted by each principal of a Joint Venture.

**TOWN OF EAST LYME**  
**Hope Street Parking Lot Improvements**

I, \_\_\_\_\_, acting in behalf of  
(Name of Person Signing Affidavit)

\_\_\_\_\_ of which I am (the) (a)  
(Name of Bidder i.e. Person or Organization)

\_\_\_\_\_, submitting a bid for the above project, certify and affirm in accordance  
(Title)

with Part 635.112 of Title 23, U.S. Code of Federal Regulations, that the

\_\_\_\_\_ has neither directly or indirectly  
(Name of Bidder i.e. Person or Organization)

entered into any agreements, participated in any collusion nor otherwise taken any action in restraint of free competitive bidding in connection with such bid. False statement made herein may be the subject of criminal prosecution.

\_\_\_\_\_  
(Name of Bidder i.e. Person or Organization)

\_\_\_\_\_  
Signature and Title of Official

Subscribed and sworn to before me, this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_.

\_\_\_\_\_  
Notary Public/Commissioner of the Superior Court

My Commission Expires \_\_\_\_\_.

\*\*\*\*\*

**Certificate of Authority**

I, \_\_\_\_\_, certify that I am (the) (a) \_\_\_\_\_ of the  
(Name) (Title)

organization named in the foregoing instrument; that I have the authority to affix the seal of the Organization to such papers that require the seal; that \_\_\_\_\_, who signed said  
(Name)

instrument on behalf of the Organization was then (the) (a) \_\_\_\_\_  
(Title)

of said Organization; that said instrument was duly signed for and in behalf of said Organization by authority of its governing body and is within the scope of its organizational powers.

\_\_\_\_\_ (Corporate Seal, if applicable)  
Signature of Certifying Person

The person signing the Certificate of Authority portion of this form cannot execute the upper portion of this Affidavit.



## INSURANCE REQUIREMENTS

The successful bidder shall secure and maintain general liability injury, death or property damage, which may arise from performance of his service under this contract in the amount of at least:

1. Commercial General Liability:

- General Aggregate: \$2,000,000
- Products/Completed Operations Aggregate: \$1,000,000
- Each Occurrence: \$1,000,000

2. Automobile Liability:

- Each Accident: \$1,000,000

3. Excess (umbrella Liability) Liability: \$5,000,000

4. Workers' Compensation and Employer's Liability:

- Statutory Workers Compensation
- \$100,000 each accident/\$500,000 disease-policy limit/\$100,000 disease-each employee

The successful bidder shall designate the Town of East Lyme and the State of Connecticut as additional named insured in his liability policy. The successful bidder shall furnish the Town with a certificate or other proof of the required insurance and coverage limits. The provisions of these requirements shall apply to and be incorporated into any subcontracts regarding this project between the successful bidder and his subcontractors.

**Bid Proposal Form  
Hope Street Parking Lot Improvements  
East Lyme, CT**

Date of Bid Opening: Thursday, July 7, 2011 at 2:00 PM  
**NO BIDS WILL BE ACCEPTED AFTER 2:00 PM. "NO EXCEPTIONS"**

The Bidder shall fill in, under the column "UNIT PRICES BID", the Unit Prices, written in words and in numbers, for which he proposes to perform the various items of work called for, and under the column headed "AMOUNT (Figures)", the amount each of the items at the Unit Price Bid. After the proposal is opened and read, the quantities will be extended and totaled in accordance with the prices bid and the bid will be verified or corrected.

ID NO.	ITEM NO.	ITEM DESCRIPTION	Unit	Approx. Quantity	UNIT PRICES BID		AMOUNT
					Numbers	Written in Words	Numbers
1	0020801	Building Demolition (2 story brick, strn. Fdn)	LS	1			
2	0062680	ADA Detectable (tactile) Warning Pavers (min. 3'x2') (incl base)	EA	8			
3	0201001	Clearing and Grubbing (incl. tree & stump removal)	LS	1			
4	0202002	Earth Excavation (prepare subgrade)	LS	1			
5	0202529	Cut Bituminous Concrete Pavement	L.F.	600			
6	0212001	Subbase (Bank run gravel)	CY	300			
7	0406011	Bit. Conc. Class 2 over Class 1 (incl. Base & fine grade 8")	SY	2,780			
8	0507001	Type "C" Catch Basin	Ea	3			
9	0507903	Concrete Drywell	Ea	2			
10	0651630	15" HDPE Dual-wall Perforated Storm Drain Pipe	LF	132			
11	0815001	Bituminous Concrete Curb	LF	1,350			
12	0921001	5" Concrete Sidewalk	SF	275			
13	0921002	8" Concrete Sidewalk at Driveway	SF	369			
14	0921007	"Stormcrete" Sidewalk at Island (incl base) (Install only)	SF	1,155			
15	0950005	Turf Establishment	SY	940			
16	1002110	Decorative Light Pole Base	Ea	7			
17	1008127	2" PVC Electric Conduit	LF	975			
18	1302061	Adjust Water Gate box	Ea	1			
<b>Total Base Bid</b>							
<b>Total Base Bid Price in Words (Dollars and Cents)</b>							
<b>Total Base Bid Price Written in Numbers</b>							

**Bid Proposal Form  
Hope Street Parking Lot Improvements  
Town of East Lyme**

This bid includes the following addenda:

ADDENDUM

NUMBER

DATE

WITNESS

SIGNATURE

DATE

DATE

TITLE

TITLE

COMPANY

COMPANY

STREET ADDRESS

STREET ADDRESS

CITY, STATE, ZIP CODE

CITY, STATE, ZIP CODE

TELEPHONE NUMBER

TELEPHONE NUMBER

For Town Use Only

Signed, Town Representative

**PART 2 – CONTRACT DOCUMENTS**

**PERFORMANCE PAYMENT BOND**

KNOW ALL MEN BY THESE PRESENTS: That we \_\_\_\_\_

\_\_\_\_\_ a \_\_\_\_\_,  
(Contractor) (Corporation, Partnership or Individual)

hereinafter called "PRINCIPAL" and \_\_\_\_\_  
(Surety)

of \_\_\_\_\_, State of \_\_\_\_\_

hereinafter called the "SURETY", are held and firmly bound unto **THE TOWN OF EAST LYME,**

HEREINAFTER CALLED "owner" in the penal sum of \_\_\_\_\_ Dollars  
(\$ \_\_\_\_\_) in lawful money of the United States, for payment of which sum

well and truly to be made we bind ourselves, our heirs, executors, administrators and successors jointly and severally, firmly by these presents.

THE CONDITION OF THIS OBLIGATION is such that Whereas the Principal entered into a certain contract with the Owner, dated the day of \_\_\_\_\_, \_\_\_\_\_, copy of which is hereto attached and made a part hereof for the construction of the

**Hope Street Parking Lot Improvements**

NOW, THEREFORE, if the Principal shall well, truly and faithfully perform its duties, all the undertakings, covenants, terms, conditions and agreements of said contract during the original term thereof, and any extensions thereof which may be granted by the Owner, with or without notice to the Surety, and if he shall satisfy all claims and demands incurred under such contract and shall fully indemnify and save harmless the Owner from all costs and damages which it may suffer by reason of failure to do so, and shall reimburse and repay the Owner all outlay and expense which the Owner may incur in making good any default, and shall promptly make payment to all persons, firms, subcontractors, and corporations furnishing materials for or performing labor in the prosecution of the work provided for in such contract, and any authorized extension or modification thereof, including all amounts due for materials, lubricants, oil, gasoline, coal, and coke, repairs on machinery, equipment and tools, consumed or used in connection with the construction of such work, and all insurance premiums on said work, and for all labor, performed in such work whether by subcontractor or otherwise, then this obligation shall be void; otherwise to remain in full force and effect.

Provided further, that the said Surety, for value received, hereby stipulates and agrees that no charge, extension of time, alteration or addition to the terms of the contract or to the work to be performed thereunder or the specifications accompanying the same shall in any way affect its obligation on this bond, and it does hereby waive notice of any such change, extensions of time alteration or addition to the terms of the contract or to the work or to the specifications.

PROVIDED FURTHER, that no final settlement between the Owner and the Contractor shall abridge the right of any beneficiary hereunder, whose claim may be unsatisfied.

IN WITNESS WHEREOF, this instrument is executed in six (6) counterparts, each one of which shall be deemed an original, this the \_\_\_\_\_ day of \_\_\_\_\_.

ATTEST:

\_\_\_\_\_  
(Principal) Secretary By: \_\_\_\_\_  
(SEAL) \_\_\_\_\_

Witness as to Principal \_\_\_\_\_  
(Address - Zip Code) \_\_\_\_\_  
\_\_\_\_\_

ATTEST:

\_\_\_\_\_  
(Surety) Secretary By: \_\_\_\_\_  
(SEAL) Attorney-in-fact

\_\_\_\_\_  
Witness as to Surety \_\_\_\_\_  
(Address - Zip Code) \_\_\_\_\_  
\_\_\_\_\_

NOTE: Date of Bond must not be prior to date of Contract. If Contractor is a partnership, all partners should execute the bond.

LABOR AND MATERIAL PAYMENT BOND

KNOW ALL MEN BY THESE PRESENTS

That \_\_\_\_\_,  
as Principal (hereinafter called Principal) and  
\_\_\_\_\_ as surety (hereinafter called Surety)  
are held and firmly bound unto THE TOWN OF EAST LYME, as Obligee (hereinafter called  
Owner) for the use and benefit of claimants as hereinbelow defined; in the amount of  
\_\_\_\_\_ Dollars (\$ \_\_\_\_\_),  
for the payment whereof Principal and Surety bind themselves, their heirs, executors,  
administrators, successors, and assigns, jointly and severally, firmly by these presents.

WHEREAS, Principal has written agreement dated \_\_\_\_\_,  
Entered into a contract with Owner for the construction of **Hope Street Parking Lot**  
**Improvements** which Contract is by reference made a part hereof, and is hereinafter referred to  
as the Contract.

NOW, THEREFORE, the condition of this obligation is such that, if the said Principal shall promptly pay for all materials furnished and labor supplied or performed in the prosecution of the work included in and under the aforesaid Contract, whether or not the material or labor enters into and becomes a component part of the real asset, then this obligation shall be null and void otherwise it shall remain and be in full force and effect.

PROVIDED, that any alterations which may be made in the terms of the Contract or in the work to be done under it, or the giving by the Obligee of any extension of time for the performance of the Contract, or any other forbearance on the part of either the Obligee or the Principal to the other shall not in any way release the Principal and the Surety or either or any of them, their heirs, executors, administrators, successors or assigns from their liability hereunder, notice to the surety of any such alterations, extension or forbearance being hereby waived.

Any party, whether a subcontractor or otherwise, who furnished materials or supplies or performs labor or services in the prosecution of the work under said Contract, and who is not paid therefore, may bring a suit on this bond in the name of the person suing, prosecute the same to a final judgement, and have execution thereon for such sum as may be justly due.

IN WITNESS WHEREOF, the above-bounded parties have executed this instrument under their several seals this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_. The name and corporate seal of each corporation party being hereto affixed and these presents signed by its undersigned representative, pursuant to authority of its governing body.

In the presence of:

\_\_\_\_\_ (SEAL)  
(Individual Principal)

\_\_\_\_\_ (SEAL)

ATTEST

By:

(Principal) Secretary

By:

\_\_\_\_\_  
\_\_\_\_\_

By:

Affix Corporate Seal

ATTEST:

\_\_\_\_\_  
\_\_\_\_\_

By:

Affix Corporate Seal

Countersigned

By

\*Attorney-in-Fact, State of

\* Power-of-Attorney for person signing for Surety Company must be attached to Bond.



**COMMISSION ON HUMAN RIGHTS AND OPPORTUNITIES  
CONTRACT COMPLIANCE REGULATIONS  
NOTIFICATION TO BIDDERS**

(Revised 09/17/07)

The contract to be awarded is subject to contract compliance requirements mandated by Sections 4a-60 and 4a-60a of the Connecticut General Statutes; and, when the awarding agency is the State, Sections 46a-71(d) and 46a-81i(d) of the Connecticut General Statutes. There are Contract Compliance Regulations codified at Section 46a-68j-21 through 43 of the Regulations of Connecticut State Agencies, which establish a procedure for awarding all contracts covered by Sections 4a-60 and 46a-71(d) of the Connecticut General Statutes.

According to Section 46a-68j-30(9) of the Contract Compliance Regulations, every agency awarding a contract subject to the contract compliance requirements has an obligation to "aggressively solicit the participation of legitimate minority business enterprises as bidders, contractors, subcontractors and suppliers of materials." "Minority business enterprise" is defined in Section 4a-60 of the Connecticut General Statutes as a business wherein fifty-one percent or more of the capital stock, or assets belong to a person or persons: "(1) Who are active in daily affairs of the enterprise; (2) who have the power to direct the management and policies of the enterprise; and (3) who are members of a minority, as such term is defined in subsection (a) of Section 32-9n." "Minority" groups are defined in Section 32-9n of the Connecticut General Statutes as "(1) Black Americans . . . (2) Hispanic Americans . . . (3) persons who have origins in the Iberian Peninsula . . . (4) Women . . . (5) Asian Pacific Americans and Pacific Islanders; (6) American Indians . . ." An individual with a disability is also a minority business enterprise as provided by Section 4a-60g of the Connecticut General Statutes. The above definitions apply to the contract compliance requirements by virtue of Section 46a-68j-21(11) of the Contract Compliance Regulations.

The awarding agency will consider the following factors when reviewing the bidder's qualifications under the contract compliance requirements:

- (a) the bidder's success in implementing an affirmative action plan;
- (b) the bidder's success in developing an apprenticeship program complying with Sections 46a-68-1 to 46a-68-17 of the Administrative Regulations of Connecticut State Agencies, inclusive;
- (c) the bidder's promise to develop and implement a successful affirmative action plan;
- (d) the bidder's submission of employment statistics contained in the "Employment Information Form", indicating that the composition of its workforce is at or near parity when compared to the racial and sexual composition of the workforce in the relevant labor market area; and
- (e) the bidder's promise to set aside a portion of the contract for legitimate minority business enterprises. See Section 46a-68j-30(10)(E) of the Contract Compliance Regulations.

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**INSTRUCTIONS AND OTHER INFORMATION**

The following BIDDER CONTRACT COMPLIANCE MONITORING REPORT must be completed in full, signed, and submitted with the bid for this contract. The contract awarding agency and the Commission on Human Rights and Opportunities will use the information contained thereon to determine the bidders compliance to Sections 4a-60 and 4a-60a CONN. GEN. STAT., and Sections 46a-68j-23 of the Regulations of Connecticut State Agencies regarding equal employment opportunity, and the bidder's good faith efforts to include minority business enterprises as subcontractors and suppliers for the work of the contract.

**1) Definition of Small Contractor**

Section 4a-60g CONN. GEN. STAT. defines a small contractor as a company that has been doing business under the same management and control and has maintained its principal place of business in Connecticut for a one year period immediately prior to its application for certification under this section, had gross revenues not exceeding ten million dollars in the most recently completed fiscal year, and at least fifty-one percent of the ownership of which is held by a person or persons who are active in the daily affairs of the company, and have the power to direct the management and policies of the company, except that a nonprofit corporation shall be construed to be a small contractor if such nonprofit corporation meets the requirements of subparagraphs (A) and (B) of subdivision 4a-60g CONN. GEN. STAT.

2) Description of Job Categories (as used in Part IV Bidder Employment Information) (Page 2)

**MANAGEMENT:** Managers plan, organize, direct, and control the major functions of an organization through subordinates who are at the managerial or supervisory level. They make policy decisions and set objectives for the company or departments. They are not usually directly involved in production or providing services. Examples include top executives, public relations managers, managers of operations specialties (such as financial, human resources, or purchasing managers), and construction and engineering managers.

**BUSINESS AND FINANCIAL OPERATIONS:** These occupations include managers and professionals who work with the financial aspects of the business. These occupations include accountants and auditors, purchasing agents, management analysts, labor relations specialists, and budget, credit, and financial analysts.

**MARKETING AND SALES:** Occupations related to the act or process of buying and selling products and/or services such as sales engineer, retail sales workers and sales representatives including wholesale.

**LEGAL OCCUPATIONS:** In-House Counsel who is charged with providing legal advice and services in regards to legal issues that may arise during the course of standard business practices. This category also includes assistive legal occupations such as paralegals, legal assistants.

**COMPUTER SPECIALISTS:** Professionals responsible for the computer operations within a company are grouped in this category. Examples of job titles in this category include computer programmers, software engineers, database administrators, computer scientists, systems analysts, and computer support specialists

**ARCHITECTURE AND ENGINEERING:** Occupations related to architecture, surveying, engineering, and drafting are included in this category. Some of the job titles in this category include electrical and electronic engineers, surveyors, architects, drafters, mechanical engineers, materials engineers, mapping technicians, and civil engineers.

**OFFICE AND ADMINISTRATIVE SUPPORT:** All clerical-type work is included in this category. These jobs involve the preparing, transcribing, and preserving of written communications and records; collecting accounts; gathering and distributing information; operating office machines and electronic data processing equipment; and distributing mail. Job titles listed in this category include telephone operators, bill and account collectors, customer service representatives, dispatchers, secretaries and administrative assistants, computer operators and clerks (such as payroll, shipping, stock, mail and file).

**BUILDING AND GROUNDS CLEANING AND MAINTENANCE:** This category includes occupations involving landscaping, housekeeping, and janitorial services. Job titles found in this category include supervisors of landscaping or housekeeping, janitors, maids, grounds maintenance workers, and pest control workers.

**CONSTRUCTION AND EXTRACTION:** This category includes construction trades and related occupations. Job titles found in this category include boilermakers, masons (all types), carpenters, construction laborers, electricians, plumbers (and related trades), roofers, sheet metal workers, elevator installers, hazardous materials removal workers, paperhangers, and painters. Paving, surfacing, and tamping equipment operators; drywall and ceiling tile installers; and carpet, floor and tile installers and finishers are also included in this category. First line supervisors, foremen, and helpers in these trades are also grouped in this category..

**INSTALLATION, MAINTENANCE AND REPAIR:** Occupations involving the installation, maintenance, and repair of equipment are included in this group. Examples of job titles found here are heating, ac, and refrigeration mechanics and installers; telecommunication line installers and repairers; heavy vehicle and mobile equipment service technicians and mechanics; small engine mechanics; security and fire alarm systems installers; electric/electronic repair, industrial, utility and transportation equipment; millwrights; riggers; and manufactured building and mobile home installers. First line supervisors, foremen, and helpers for these jobs are also included in the category.

**MATERIAL MOVING WORKERS:** The job titles included in this group are Crane and tower operators; dredge, excavating, and lading machine operators; hoist and winch operators; industrial truck and tractor operators; cleaners of vehicles and equipment; laborers and freight, stock, and material movers, hand; machine feeders and offbearers; packers and packagers, hand; pumping station operators; refuse and recyclable material collectors; and miscellaneous material moving workers.

**PRODUCTION WORKERS:** The job titles included in this category are chemical production machine setters, operators and tenders; crushing/grinding workers; cutting workers; inspectors, testers sorters, samplers, weighers; precious stone/metal workers; painting workers; cementing/gluing machine operators and tenders; etchers/engravers; molders, shapers and casters except for metal and plastic; and production workers.

3) Definition of Racial and Ethnic Terms (as used in Part IV Bidder Employment Information) (Page 3)

<p><u>White</u> (not of Hispanic Origin)- All persons having origins in any of the original peoples of Europe, North Africa, or the Middle East.</p> <p><u>Black</u>(not of Hispanic Origin)- All persons having origins in any of the Black racial groups of Africa.</p> <p><u>Hispanic</u>- All persons of Mexican, Puerto Rican, Cuban, Central or South American, or other Spanish culture or origin, regardless of race.</p>	<p><u>Asian or Pacific Islander</u>- All persons having origins in any of the original peoples of the Far East, Southeast Asia, the Indian subcontinent, or the Pacific Islands. This area includes China, India, Japan, Korea, the Philippine Islands, and Samoa.</p> <p><u>American Indian or Alaskan Native</u>- All persons having origins in any of the original peoples of North America, and who maintain cultural identification through tribal affiliation or community recognition.</p>
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**BIDDER CONTRACT COMPLIANCE MONITORING REPORT**

**PART I - Bidder Information**

<p>Company Name Street Address City &amp; State Chief Executive</p>	<p>Bidder Federal Employer Identification Number _____ Or Social Security Number _____</p>
<p>Major Business Activity (brief description)</p>	<p>Bidder Identification (response optional/definitions on page 1)</p> <p>-Bidder is a small contractor. Yes ___ No ___ -Bidder is a minority business enterprise Yes ___ No ___ (If yes, check ownership category) Black ___ Hispanic ___ Asian American ___ American Indian/Alaskan Native ___ Iberian Peninsula ___ Individual(s) with a Physical Disability ___ Female ___</p>
<p>Bidder Parent Company (If any)</p>	<p>- Bidder is certified as above by State of CT Yes ___ No ___</p>
<p>Other Locations in Ct. (If any)</p>	

**PART II - Bidder Nondiscrimination Policies and Procedures**

<p>1. Does your company have a written Affirmative Action/Equal Employment Opportunity statement posted on company bulletin boards? Yes ___ No ___</p>	<p>7. Do all of your company contracts and purchase orders contain non-discrimination statements as required by Sections 4a-60 &amp; 4a-60a Conn. Gen. Stat.? Yes ___ No ___</p>
<p>2. Does your company have the state-mandated sexual harassment prevention in the workplace policy posted on company bulletin boards? Yes ___ No ___</p>	<p>8. Do you, upon request, provide reasonable accommodation to employees, or applicants for employment, who have physical or mental disability? Yes ___ No ___</p>
<p>3. Do you notify all recruitment sources in writing of your company's Affirmative Action/Equal Employment Opportunity employment policy? Yes ___ No ___</p>	<p>9. Does your company have a mandatory retirement age for all employees? Yes ___ No ___</p>
<p>4. Do your company advertisements contain a written statement that you are an Affirmative Action/Equal Opportunity Employer? Yes ___ No ___</p>	<p>10. If your company has 50 or more employees, have you provided at least two (2) hours of sexual harassment training to all of your supervisors? Yes ___ No ___ NA ___</p>
<p>5. Do you notify the Ct. State Employment Service of all employment openings with your company? Yes ___ No ___</p>	<p>11. If your company has apprenticeship programs, do they meet the Affirmative Action/Equal Employment Opportunity requirements of the apprenticeship standards of the Ct. Dept. of Labor? Yes ___ No ___ NA ___</p>
<p>6. Does your company have a collective bargaining agreement with workers? Yes ___ No ___</p> <p>6a. If yes, do the collective bargaining agreements contain non-discrimination clauses covering all workers? Yes ___ No ___</p> <p>6b. Have you notified each union in writing of your commitments under the nondiscrimination requirements of contracts with the state of Ct? Yes ___ No ___</p>	<p>12. Does your company have a written affirmative action Plan? Yes ___ No ___ If no, please explain.</p> <p>13. Is there a person in your company who is responsible for equal employment opportunity? Yes ___ No ___ If yes, give name and phone number. _____</p>

**Part III - Bidder Subcontracting Practices**

1. Will the work of this contract include subcontractors or suppliers? Yes\_\_ No\_\_

1a. If yes, please list all subcontractors and suppliers and report if they are a small contractor and/or a minority business enterprise. (defined on page 1 / use additional sheet if necessary)

1b. Will the work of this contract require additional subcontractors or suppliers other than those identified in 1a. above?

Yes\_\_ No\_\_

**PART IV - Bidder Employment Information**

Date:

JOB CATEGORY *	OVERALL TOTALS	WHITE (not of Hispanic origin)		BLACK (not of Hispanic origin)		HISPANIC		ASIAN or PACIFIC ISLANDER		AMERICAN INDIAN or ALASKAN NATIVE	
		Male	Female	Male	Female	Male	Female	Male	Female	male	female
Management											
Business & Financial Ops											
Marketing & Sales											
Legal Occupations											
Computer Specialists											
Architecture/Engineering											
Office & Admin Support											
Bldg/ Grounds Cleaning/Maintenance											
Construction & Extraction											
Installation , Maintenance & Repair											
Material Moving Workers											
Production Occupations											
TOTALS ABOVE											
Total One Year Ago											
FORMAL ON THE JOB TRAINEES (ENTER FIGURES FOR THE SAME CATEGORIES AS ARE SHOWN ABOVE)											
Apprentices											
Trainees											

\*NOTE: JOB CATEGORIES CAN BE CHANGED OR ADDED TO (EX. SALES CAN BE ADDED OR REPLACE A CATEGORY NOT USED IN YOUR COMPANY)

PART V - Bidder Hiring and Recruitment Practices

(Page 5)

1. Which of the following recruitment sources are used by you? (Check yes or no, and report percent used)				2. Check (X) any of the below listed requirements that you use as a hiring qualification  (X)		3. Describe below any other practices or actions that you take which show that you hire, train, and promote employees without discrimination
SOURCE	YES	NO	% of applicants provided by source			
State Employment Service					Work Experience	
Private Employment Agencies					Ability to Speak or Write English	
Schools and Colleges					Written Tests	
Newspaper Advertisement					High School Diploma	
Walk Ins					College Degree	
Present Employees					Union Membership	
Labor Organizations					Personal Recommendation	
Minority/Community Organizations					Height or Weight	
Others (please identify)					Car Ownership	
					Arrest Record	
					Wage Garnishments	

Certification (Read this form and check your statements on it CAREFULLY before signing). I certify that the statements made by me on this BIDDER CONTRACT COMPLIANCE MONITORING REPORT are complete and true to the best of my knowledge and belief, and are made in good faith. I understand that if I knowingly make any misstatements of facts, I am subject to be declared in non-compliance with Section 4a-60, 4a-60a, and related sections of the CONN. GEN. STAT.

(Signature)	(Title)	(Date Signed)	(Telephone)
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**CONTRACTOR'S MINORITY BUSINESS ENTERPRISES  
UTILIZATION FORM**

NAME AND ADDRESS OF AWARDING AGENCY: \_\_\_\_\_ NAME AND ADDRESS OF CONTRACTOR: \_\_\_\_\_

PROJECT NO. \_\_\_\_\_

DATE AWARDED \_\_\_\_\_

DATE BID OPENED \_\_\_\_\_

**NOTICE TO CONTRACTORS:** Under Section 4-114a-5 of the Contract Compliance Regulations, contractors are required to make GOOD FAITH EFFORTS to employ Minority Business Enterprises (MBEs) as subcontractors and suppliers of materials on all projects subject to compliance requirements. The contract, which is referenced above, is subject to contract compliance requirements.

**INSTRUCTIONS:** List the name and addresses of all MBEs you have selected as subcontractors and suppliers of materials for this project. If the MBEs selected as subcontractors and suppliers of materials meet the criteria for MBEs set out in Section 4-114a of the Connecticut General Statutes, contractors **MUST COMPLETE** the attached affidavit. If such businesses are not currently registered with the Department of Administrative Services and if the contractor wishes the Commission on Human Rights and Opportunities (CHRO) to consider favorably the selection of an unregistered MBE in the evaluation of the contractor's good faith efforts, contractors **MUST** complete the attached affidavit. In either case, the affidavit must be filled out in triplicate, with the original sent to the CHRO, Contract Compliance Unit, 90 Washington Street, Hartford, CT 06106; one copy sent to the Awarding Agency; one copy retained by contractor. If the Contractor does not wish the CHRO to consider selection of an unregistered MBE in its evaluation of the contractor's good faith efforts, no affidavit need be made.

(Attach additional pages if necessary using same headings)

NAME AND ADDRESS OF MBE SUBCONTRACTOR (S) OR SUPPLIER(S) OF MATERIALS:	Check here if MBE(s) qualify under Section 4-114a of the C.G.S.	Check here if MBE is unregistered but wants to be considered for good faith efforts.
_____		
_____		
_____		
_____		
_____		
_____		
_____		
_____		
_____		
_____		

This form developed pursuant to Section 4-114a-5 of the Contract Compliance Regulations.

**AFFIDAVIT**

I, \_\_\_\_\_, acting on behalf of \_\_\_\_\_,  
(Name of person signing certification) (Contractor)

of which I am the \_\_\_\_\_, certify and affirm:  
(Title)

     Check if provision applicable:

THAT the following Minority Business subcontractors and/or suppliers of materials that  
\_\_\_\_\_ has hired for Contract No. \_\_\_\_\_  
(Contractor)

with \_\_\_\_\_, meet the criteria for Minority Business  
(Awarding Agency)

Enterprises that qualify under current statutory requirements.

List of names of registered MBEs:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

     Check if provision applicable:

THAT \_\_\_\_\_ has hired the following Minority Business subcontractors or  
(Contractor)

suppliers of materials for Contract No. \_\_\_\_\_ with \_\_\_\_\_,  
(Awarding Agency)

that are not registered with the Department of Administrative Services, but which should be  
considered by the Connecticut Commission on Human Rights and Opportunities when evaluating  
the \_\_\_\_\_ good faith efforts:  
(Contractor)

List of names of unregistered MBEs:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

I further certify and affirm that I have read and understand the contract compliance requirements  
codified at Section 4a-60 and Section 46a-71(d) of the Connecticut General Statutes.

I further certify and affirm that I have read and understand the Contract Compliance Regulations  
codified at Section 4a-60-1 and the following Regulations of Connecticut State Agencies.

I understand that false statements made herein are punishable at law.

\_\_\_\_\_  
(Name of Corporation or Firm)

\_\_\_\_\_  
(Signature and Title of Official Making The Affidavit)

Subscribed and sworn to before me, this \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_

\_\_\_\_\_  
Notary Public/Commissioner of the Superior Court

My Commission Expires \_\_\_\_\_



**Sec. 31-53b. Construction safety and health course. Proof of completion required for employees on public building projects. Enforcement. Regulations.** (a) Each contract entered into on or after July 1, 2007, for the construction, remodeling, refinishing, refurbishing, rehabilitation, alteration or repair of any public building project by the state or any of its agents, or by an political subdivision of the state or any of its agents, where the total cost of all work to be performed by all contractors and subcontractors in connection with the contract is at least one hundred thousand dollars, shall contain a provision requiring that, not later than thirty days after the date such contract is awarded, each contractor furnish proof to the Labor Commissioner that all employees performing manual labor on or in such public building, pursuant to such contract, have completed a course of at least ten hours in duration in construction safety and health approved by the federal Occupational Safety and Health Administration or, in the case of telecommunications employees, have completed at least ten hours of training in accordance with 29 CFR 1910.268.

(b) Any employee required to complete a construction safety and health course required under subsection (a) of this section who has not completed the course shall be subject to removal from the worksite if the employee does not provide documentation of having completed such course by the fifteenth day after the date the employee is found to be in noncompliance. The Labor Commissioner or said commissioner's designee shall enforce this section.

(c) Not later than January 1, 2007, the Labor Commissioner shall adopt regulations, in accordance with the provisions of chapter 54, to implement the provisions of subsections (a) and (b) of this section. Such regulations shall require that the ten-hour construction safety and health courses required under subsection (a) of this section be conducted in accordance with federal Occupational Safety and Health Administration Training Institute standards, or in accordance with 29 CFR 1910.268, as appropriate. The Labor Commissioner shall accept as sufficient proof of compliance with the provisions of subsection (a) or (b) of this section a student course completion card issued by the federal Occupational Safety and Health Administration Training Institute, or such other proof of compliance said commissioner deems appropriate, dated no earlier than five years before the commencement date of such public works project.

(d) For the purposes of this section, "public building" means a structure, paid for in whole or in part with state funds, within a roof and within exterior walls or fire walls, designed for the housing, shelter, enclosure and support or employment of people, animals or property of any kind, including, but not limited to, sewage treatment plants and water treatment plants, "Public building" does not include site work, roads or bridges, rail lines, parking lots or underground water, sewer or drainage systems including pump houses or other utility systems.

## Informational Bulletin

### **THE 10-HOUR OSHA CONSTRUCTION SAFETY AND HEALTH COURSE**

(applicable to public building contracts entered into *on or after July 1, 2007*, where the total cost of all work to be performed is at least \$100,000)

- (1) This requirement was created by Public Act No. 06-175, which is codified in Section 31-53b of the Connecticut General Statutes (pertaining to the prevailing wage statutes);
- (2) The course is required for public building construction contracts (projects funded in whole or in part by the state or any political subdivision of the state) entered into on or after July 1, 2007;
- (3) It is required of private employees (not state or municipal employees) and apprentices who perform manual labor for a general contractor or subcontractor on a public building project where the total cost of all work to be performed is at least \$100,000;
- (4) The ten-hour construction course pertains to the ten-hour Outreach Course conducted in accordance with federal OSHA Training Institute standards, and, for telecommunications workers, a ten-hour training course conducted in accordance with federal OSHA standard, 29 CFR 1910.268;
- (5) The internet website for the federal OSHA Training Institute is [http://www.osha.gov/fso/ote/training/edcenters/fact\\_sheet.html](http://www.osha.gov/fso/ote/training/edcenters/fact_sheet.html);
- (6) The statutory language leaves it to the contractor and its employees to determine who pays for the cost of the ten-hour Outreach Course;
- (7) Within 30 days of receiving a contract award, a general contractor must furnish proof to the Labor Commissioner that all employees and apprentices performing manual labor on the project will have completed such a course;
- (8) Proof of completion may be demonstrated through either: (a) the presentation of a *bona fide* student course completion card issued by the federal OSHA Training Institute; *or* (2) the presentation of documentation provided to an employee by a trainer certified by the Institute pending the actual issuance of the completion card;
- (9) Any card with an issuance date more than 5 years prior to the commencement date of the construction project shall not constitute proof of compliance;

- (10) Each employer shall affix a copy of the construction safety course completion card to the certified payroll submitted to the contracting agency in accordance with Conn. Gen. Stat. § 31-53(f) on which such employee's name first appears;
- (11) Any employee found to be in non-compliance shall be subject to removal from the worksite if such employee does not provide satisfactory proof of course completion to the Labor Commissioner by the fifteenth day after the date the employee is determined to be in noncompliance;
- (12) Any such employee who is determined to be in noncompliance may continue to work on a public building construction project for a maximum of fourteen consecutive calendar days while bringing his or her status into compliance;
- (13) The Labor Commissioner may make complaint to the prosecuting authorities regarding any employer or agent of the employer, or officer or agent of the corporation who files a false certified payroll with respect to the status of an employee who is performing manual labor on a public building construction project;
- (14) The statute provides the minimum standards required for the completion of a safety course by manual laborers on public construction contracts; any contractor can exceed these minimum requirements; and
- (15) Regulations clarifying the statute are currently in the regulatory process, and shall be posted on the CTDOL website as soon as they are adopted in final form.
- (16) Any questions regarding this statute may be directed to the Wage and Workplace Standards Division of the Connecticut Labor Department via the internet website of <http://www.ctdol.state.ct.us/wgwkstnd/wgmenu.htm>; or by telephone at (860)263-6790.

**THE ABOVE INFORMATION IS PROVIDED EXCLUSIVELY AS AN EDUCATIONAL RESOURCE, AND IS NOT INTENDED AS A SUBSTITUTE FOR LEGAL INTERPRETATIONS WHICH MAY ULTIMATELY ARISE CONCERNING THE CONSTRUCTION OF THE STATUTE OR THE REGULATIONS.**

November 29, 2006

## Notice

### **To All Mason Contractors and Interested Parties Regarding Construction Pursuant to Section 31-53 of the Connecticut General Statutes (Prevailing Wage)**

The Connecticut Labor Department Wage and Workplace Standards Division is empowered to enforce the prevailing wage rates on projects covered by the above referenced statute.

Over the past few years the Division has withheld enforcement of the rate in effect for workers who operate a forklift on a prevailing wage rate project due to a potential jurisdictional dispute.

The rate listed in the schedules and in our Occupational Bulletin (see enclosed) has been as follows:

#### **Forklift Operator:**

- **Laborers (Group 4) Mason Tenders** - operates forklift solely to assist a mason to a maximum height of nine feet only.

- **Power Equipment Operator (Group 9)** - operates forklift to assist any trade and to assist a mason to a height over nine feet.

The U.S. Labor Department conducted a survey of rates in Connecticut but it has not been published and the rate in effect remains as outlined in the above Occupational Bulletin.

*Since this is a classification matter and not one of jurisdiction, effective January 1, 2007 the Connecticut Labor Department will enforce the rate on each schedule in accordance with our statutory authority.*

Your cooperation in filing appropriate and accurate certified payrolls is appreciated.

# Statute 31-55a

You are here: [DOL Web Site](#)  [Wage and Workplace Standards](#)  Statute 31-55a

## - Special Notice -

**To All State and Political Subdivisions, Their Agents, and Contractors**

**Connecticut General Statute 31-55a - Annual adjustments to wage rates by contractors doing state work.**

*Each contractor that is awarded a contract on or after October 1, 2002, for (1) the construction of a state highway or bridge that falls under the provisions of section 31-54 of the general statutes, or (2) the construction, remodeling, refinishing, refurbishing, rehabilitation, alteration or repair of any public works project that falls under the provisions of section 31-53 of the general statutes shall contact the Labor Commissioner on or before July first of each year, for the duration of such contract, to ascertain the prevailing rate of wages on an hourly basis and the amount of payment or contributions paid or payable on behalf of each mechanic, laborer or worker employed upon the work contracted to be done, and shall make any necessary adjustments to such prevailing rate of wages and such payment or contributions paid or payable on behalf of each such employee, effective each July first.*

- The prevailing wage rates applicable to any contract or subcontract awarded on or after October 1, 2002 are subject to annual adjustments each July 1st for the duration of any project which was originally advertised for bids on or after October 1, 2002.
- Each contractor affected by the above requirement shall pay the annual adjusted prevailing wage rate that is in effect each July 1st, as posted by the Department of Labor.
- It is the **contractor's** responsibility to obtain the annual adjusted prevailing wage rate increases directly from the Department of Labor's Web Site. The annual adjustments will be posted on the Department of Labor Web page: [www.ctdol.state.ct.us](http://www.ctdol.state.ct.us). For those without internet access, please contact the division listed below.
- The Department of Labor will continue to issue the initial prevailing wage rate schedule to the Contracting Agency for the project. All subsequent annual adjustments will be posted on our Web Site for contractor access.

**Any questions should be directed to the Contract Compliance Unit, Wage and Workplace Standards Division, Connecticut Department of Labor, 200 Folly Brook Blvd., Wethersfield, CT 06109 at (860)263-6790.**

[Workplace Laws](#)

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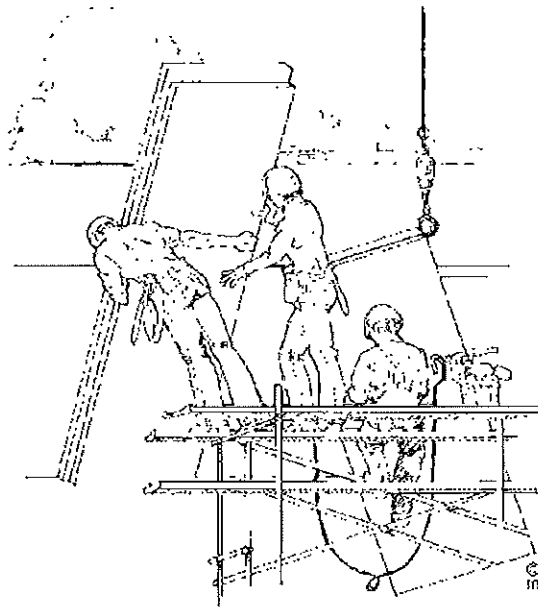
~NOTICE~

TO ALL CONTRACTING AGENCIES

Please be advised that Connecticut General Statutes Section 31-53, requires the contracting agency to certify to the Department of Labor, the total dollar amount of work to be done in connection with such public works project, regardless of whether such project consists of one or more contracts.

Please find the attached "Contracting Agency Certification Form" to be completed and returned to the Department of Labor, Wage and Workplace Standards Division, Public Contract Compliance Unit.

○ Inquiries can be directed to (860)263-6543.



CONNECTICUT DEPARTMENT OF LABOR  
WAGE AND WORKPLACE STANDARDS DIVISION  
CONTRACT COMPLIANCE UNIT

*CONTRACTING AGENCY CERTIFICATION FORM*

I, \_\_\_\_\_, acting in my official capacity as \_\_\_\_\_,  
authorized representative title

for \_\_\_\_\_, located at \_\_\_\_\_,  
contracting agency address

do hereby certify that the total dollar amount of work to be done in connection with

\_\_\_\_\_, located at \_\_\_\_\_,  
project name and number address

shall be \$ \_\_\_\_\_, which includes all work, regardless of whether such project  
consists of one or more contracts.

*CONTRACTOR INFORMATION*

Name: \_\_\_\_\_

Address: \_\_\_\_\_

Authorized Representative: \_\_\_\_\_

Approximate Starting Date: \_\_\_\_\_

Approximate Completion Date: \_\_\_\_\_

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

Return To: Connecticut Department of Labor  
Wage & Workplace Standards Division  
Contract Compliance Unit  
200 Folly Brook Blvd.  
Wethersfield, CT 06109

Date Issued: \_\_\_\_\_

CONNECTICUT DEPARTMENT OF LABOR  
WAGE AND WORKPLACE STANDARDS DIVISION

CONTRACTORS WAGE CERTIFICATION FORM

I, \_\_\_\_\_ of \_\_\_\_\_  
Officer, Owner, Authorized Rep. Company Name

do hereby certify that the \_\_\_\_\_  
Company Name

\_\_\_\_\_  
Street

\_\_\_\_\_  
City

and all of its subcontractors will pay all workers on the

\_\_\_\_\_  
Project Name and Number

\_\_\_\_\_  
Street and City

the wages as listed in the schedule of prevailing rates required for such project (a copy of which is attached hereto).

\_\_\_\_\_  
Signed

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_, 2008.

\_\_\_\_\_  
Notary Public

L Return to:  
Connecticut Department of Labor  
Wage & Workplace Standards Division  
200 Folly Brook Blvd.  
Wethersfield, CT 06109





**\*FRINGE BENEFITS EXPLANATION (P):**

Bona fide benefits paid to approved plans, funds or programs, except those required by Federal or State Law (unemployment tax, worker's compensation, income taxes, etc.).

Please specify the type of benefits provided:

- 1) Medical or hospital care \_\_\_\_\_ 4) Disability \_\_\_\_\_
- 2) Pension or retirement \_\_\_\_\_ 5) Vacation, holiday \_\_\_\_\_
- 3) Life Insurance \_\_\_\_\_ 6) Other (please specify) \_\_\_\_\_

**CERTIFIED STATEMENT OF COMPLIANCE**

For the week ending date of \_\_\_\_\_,

I, \_\_\_\_\_ of \_\_\_\_\_, (hereafter known as

Employer) in my capacity as \_\_\_\_\_ (title) do hereby certify and state:

**Section A:**

1. All persons employed on said project have been paid the full weekly wages earned by them during the week in accordance with Connecticut General Statutes, section 31-53, as amended. Further, I hereby certify and state the following:

- a) The records submitted are true and accurate;
- b) The rate of wages paid to each mechanic, laborer or workman and the amount of payment or contributions paid or payable on behalf of each such employee to any employee welfare fund, as defined in Connecticut General Statutes, section 31-53 (h), are not less than the prevailing rate of wages and the amount of payment or contributions paid or payable on behalf of each such employee to any employee welfare fund, as determined by the Labor Commissioner pursuant to subsection Connecticut General Statutes, section 31-53 (d), and said wages and benefits are not less than those which may also be required by contract;
- c) The Employer has complied with all of the provisions in Connecticut General Statutes, section 31-53 (and Section 31-54 if applicable for state highway construction);
- d) Each such employee of the Employer is covered by a worker's compensation insurance policy for the duration of his employment which proof of coverage has been provided to the contracting agency;
- e) The Employer does not receive kickbacks, which means any money, fee, commission, credit, gift, gratuity, thing of value, or compensation of any kind which is provided directly or indirectly, to any prime contractor, prime contractor employee, subcontractor, or subcontractor employee for the purpose of improperly obtaining or rewarding favorable treatment in connection with a prime contract or in connection with a prime contractor in connection with a subcontractor relating to a prime contractor; and
- f) The Employer is aware that filing a certified payroll which he knows to be false is a class D felony for which the employer may be fined up to five thousand dollars, imprisoned for up to five years or both.

2. OSHA~Certified Statement (Pending)

\_\_\_\_\_  
 (Signature) (Title) Submitted on (Date)

**Section B: Applies to CONNDOT Projects ONLY**

That pursuant to CONNDOT contract requirements for reporting purposes only, all employees listed under Section B who performed work on this project are not covered under the prevailing wage requirements defined in Connecticut General Statutes Section 31-53.

\_\_\_\_\_  
 (Signature) (Title) Submitted on (Date)

Note: CTDOLE will assume all hours worked were performed under Section B unless clearly delineated as Section B WWS-CP1 as such. Should an employee perform work under both Section A and Section B, the hours worked and wages paid must be segregated for reporting purposes.

**\*\*\*THIS IS A PUBLIC DOCUMENT\*\*\***

**\*\*\*DO NOT INCLUDE SOCIAL SECURITY NUMBERS\*\*\***



Revised: March 12, 2008

## Informational Bulletin


### *Occupational Classifications*

The Connecticut Department of Labor has the responsibility to properly determine "job classification" on prevailing wage projects covered under C.G.S. Section 31-53.

*✓Note: This information is intended to provide a sample of some occupational classifications for guidance purposes only. It is not an all-inclusive list of each occupation's duties. This list is being provided only to highlight some areas where a contractor may be unclear regarding the proper classification.*

On any construction project, an assortment of workers are needed to carry out all of the required tasks. Employees include various skilled crafts people, machine operators, general laborers, and apprentices. Prevailing wage rate schedules identify the classes of workers likely to be employed on each of the four types of construction projects. (If a contractor wants to use a class of worker not listed in a wage determination, there is a process for requesting the U.S. Department of Labor to establish a prevailing wage rate for that additional classification). (Contact U.S. Department of Labor at 202.693.0062 or 215.861.5800)

A registered apprentice is not a separate prevailing wage job classification. Apprentices are paid a percentage of the base rate received by the craft that they are training to become and the full fringe rate. This percentage increases in steps, as the apprentice advances through the stages of the apprenticeship process.

 Any questions regarding the proper classification should be directed to the Contract Compliance Unit, Wage and Workplace Standards Division, Connecticut Department of Labor, 200 Folly Brook Blvd, Wethersfield, CT 06109 at 860.263.6543.

Below are additional clarifications of specific job duties performed for certain classifications:

⇒ ASBESTOS WORKERS/INSULATORS:

- ▶ Handle, install, apply, fabricate, distribute, prepare, alter, repair, or dismantle heat and frost insulation, including penetration and fire stopping work on all penetration fire stop systems.

⇒ BOILERMAKERS:

- ▶ Erects hydro plants, incomplete vessels, steel stacks, storage tanks for water, fuel, etc. Builds incomplete boilers, repairs heat exchanges and steam generators.

⇒ BRICKLAYERS, CEMENT MASONS, CEMENT FINISHERS, MARBLE MASONS, PLASTERERS, STONE MASONS, PLASTERERS, STONE MASONS, TERRAZZO WORKERS, TILE SETTERS:

- ▶ Lays building materials such as brick, structural tile and concrete cinder, glass, gypsum, terra cotta block. Cuts, tools and sets marble, sets stone, finishes concrete, applies decorative steel, aluminum and plastic tile, applies cements, sand, pigment and marble chips to floors, stairways, etc.

⇒ CARPENTERS, MILLWRIGHTS, PILEDRIVERMEN, LATHERS, RESILEINT FLOOR LAYERS, DOCK BUILDERS, DIVERS, DIVER TENDERS:

- ▶ Constructs, erects, installs and repairs structures and fixtures of wood, plywood and wallboard. Installs, assembles, dismantles, moves industrial machinery. Drives piling into ground to provide foundations for structures such as buildings and bridges, retaining walls for earth embankments, such as cofferdams. Fastens wooden, metal or rockboard lath to walls, ceilings and partitions of buildings, acoustical tile layer, concrete form builder. Applies firestopping materials on fire resistive joint systems only. Installation of curtain/window walls only where attached to wood or metal studs.
- ▶ Assembly and installation of modular furniture/furniture systems.  
[New] a. Free-standing furniture is not covered. This includes: student chairs, study top desks, book box desks, computer furniture, dictionary stand, atlas stand, wood shelving, two- position information access station, file cabinets, storage cabinets, tables, etc.

⇒ CLEANING LABORER:

- ▶ The clean up of any construction debris and the general cleaning, including sweeping, wash down, mopping, wiping of the construction facility, washing, polishing, dusting, etc., prior to the issuance of a certificate of occupancy falls under the *Labor classification*.

⇒DELIVERY PERSONNEL:

- ▶ If delivery of supplies/building materials is to one common point and stockpiled there, prevailing wages are not required. If the delivery personnel are involved in the distribution of the material to multiple locations within the construction site then they would have to be paid prevailing wages for the type of work performed: laborer, equipment operator, electrician, ironworker, plumber, etc.
- ▶ An example of this would be where delivery of drywall is made to a building and the delivery personnel distribute the drywall from one "stockpile" location to further sub-locations on each floor. Distribution of material around a construction site is the job of a laborer/tradesman and not a delivery personnel.

⇒ELECTRICIANS:

- ▶ Install, erect, maintenance, alteration or repair of any wire, cable, conduit, etc., which generates, transforms, transmits or uses electrical energy for light, heat, power or other purposes. **\*License required per Connecticut General Statutes: E-1,2 L-5,6 C-5,6 T-1,2 L-1,2 V-1,2,7,8,9.**

⇒ELEVATOR CONSTRUCTORS:

- ▶ Install, erect, maintenance and repair of all types of elevators, escalators, dumb waiters and moving walks. **\*License required by Connecticut General Statutes: R-1,2,5,6.**

⇒FORK LIFT OPERATOR:

- ▶ Laborers Group 4) Mason Tenders - operates forklift solely to assist a mason to a maximum height of nine (9) feet only.
- ▶ Power Equipment Operator Group 9 - operates forklift to assist any trade, and to assist a mason to a height over nine (9) feet.

⇒GLAZIERS: [updated]

- ▶ Installs light metal sash, head sills, and 2-story aluminum commercial storefronts.

⇒IRONWORKERS:

- ▶ Handling, sorting, and installation of reinforcing steel (rebar).
- ▶ Installation of aluminum window walls and curtain walls.
- ▶ Metal bridge rail (traffic), metal bridge handrail, and decorative security fence installation.
- ▶ Installation of handrails, stairs, and platforms installed on Wastewater Treatment Plant projects. [new]

⇒INSULATOR:

- ▶ Installing fire stopping systems/materials for "Penetration Firestop Systems": transit to cables, electrical conduits, insulated pipes, sprinkler pipe penetrations, ductwork behind radiation, electrical cable trays, fire rated pipe penetrations, natural polypropylene, HVAC ducts, plumbing bare metal, telephone and communication wires, and boiler room ceilings. Past practice using the applicable licensed trades, Plumber, Sheet Metal, Sprinkler Fitter, and Electrician, is not inconsistent with the Insulator classification and would be permitted.

⇒LEAD PAINT REMOVAL:

- ▶ Painter Rate -
  - 1) Removal of lead paint from bridges.
  - 2) Removal of lead paint as preparation of any surface to be repainted.
  - 3) Where removal is on a *Demolition* project prior to reconstruction.
- ▶ Laborer Rate-
  - 1) Removal of lead paint from any surface *NOT* to be repainted.
  - 2) Where removal is on a *TOTAL* Demolition project only.

⇒LABORERS:

- ▶ Acetylene burners, asphalt rakers, chain saw operators, concrete and power buggy operator, concrete saw operator, fence and guard rail erector, hand operated concrete vibrator operator, mason tenders, pipelayers (installation of water, storm drainage or sewage lines outside of the building line with P6, P7 license), pneumatic drill operator, pneumatic gas and electric drill operator, powermen and wagon drill operator, air track operator, block paver, curb setters, blasters, concrete spreaders.

⇒PAINTERS:

- ▶ Maintenance, preparation, cleaning, blasting (water and sand, etc.), painting or application of any protective coatings of every description on all bridges and appurtenances of highways, roadways, and railroads. Painting, decorating, hardwood finishing, paper hanging, sign writing, scenic art work and drywall finishing for any and all types of building and residential work.

⇒PLUMBERS AND PIPEFITTERS:

- ▶ Installation, repair, replacement, alteration or maintenance of all plumbing, heating, cooling and piping. **\*License required per Connecticut General Statutes: P-1,2,6,7,8,9 J-1,2,3,4 SP-1,2. S-1,2,3,4,5,6,7,8 B-1,2,3,4 D-1,2,3,4.**

⇒POWER EQUIPMENT OPERATORS:

- ▶ Operates several types of power construction equipment such as compressors, pumps, hoists, derricks, cranes, shovels, tractors, scrapers or motor graders, etc. Repairs and maintains equipment. **\*License required, crane operators only, per Connecticut General Statutes.**

⇒ROOFERS:

- ▶ Preparation of surface, tear-off and/or removal of any type of roofing, and/or clean-up of any areas where a roof is to be relaid.

⇒SHEET METAL WORKER:

- ▶ Fabrication, handling, assembling, erecting, altering, repairing, etc. of coated metal material panels and composite metal material panels when used on building exteriors and interiors as soffits, fascia, louvers, partitions, wall panel siding, canopies, cornice, column covers, awnings, beam covers, cladding, sun shades, lighting troughs, spires, ornamental roofing, metal ceilings, mansards, copings, ornamental and ventilation hoods, vertical and horizontal siding panels, trim, etc. The sheet metal classification also applies to the vast variety of coated metal material panels and composite metal material panels that have evolved over the years as an alternative to conventional ferrous and non-ferrous metals like steel, iron, tin, copper, brass, bronze, aluminum, etc. Insulated metal and insulated composite panels are still installed by the Iron Worker. Fabrication, handling, assembling, erecting, altering, repairing, etc. of architectural metal roof, standing seam roof, composite metal roof, metal and composite bathroom/toilet partitions, aluminum gutters, metal and composite lockers and shelving, kitchen equipment, and walk-in coolers.

⇒SPRINKLER FITTERS:

Installation, alteration, maintenance and repair of fire protection sprinkler systems. **\*License required per Connecticut General Statutes: F-1,2,3,4.**

⇒TILE, MARBLE AND TERRAZZO FINISHERS:

Assists and tends the tile setter, marble mason and terrazzo worker in the performance of their duties.

⇒TRUCK DRIVERS:

- ▶ Truck Drivers delivering asphalt are covered under prevailing wage while on the site and directly involved in the paving operation.
- ▶ Material men and deliverymen are not covered under prevailing wage as long as they are not directly involved in the construction process. If, they unload the material, they would then be covered by prevailing wage for the classification they are performing work in: laborer, equipment operator, etc.
- ▶ Hauling material off site is not covered provided they are not dumping it at a location outlined above.
- ▶ Driving a truck on site and moving equipment or materials on site would be considered covered work, as this is part of the construction process.



**CONNECTICUT DEPARTMENT OF LABOR**  
**Wage and Workplace Standards Division**

**FOOTNOTES**

Please Note: If the "Benefits" listed on the schedule for the following occupations includes a letter(s) (+ a or + a+b for instance), refer to the information below.

Benefits to be paid at the appropriate prevailing wage rate for the listed occupation.

If the "Benefits" section for the occupation lists only a dollar amount, disregard the information below.

**Bricklayers, Cement Masons, Cement Finishers, Plasters, Stone Masons**  
(Building Construction)

(Residential- Hartford, Middlesex, New Haven, New London and Tolland Counties)

- a. Paid Holiday: Employees shall receive 4 hours for Christmas Eve holiday provided the employee works the regularly scheduled day before and after the holiday. Employers may schedule work on Christmas Eve and employees shall receive pay for actual hours worked in addition to holiday pay.

**Bricklayer (Residential- Fairfield County)**

- a. Paid Holiday: If an employee works on Christmas Eve until noon he shall be paid for 8 hours.

**Electricians**

Fairfield County: West of the Five Mile River in Norwalk

- a. \$2.00 per hour not to exceed \$14.00 per day.

**Elevator Constructors: Mechanics**

- a. Paid Holidays: New Year's Day, Memorial Day, Independence Day, Labor Day, Thanksgiving Day, Christmas Day, plus the Friday after Thanksgiving.
- b. Vacation: Employer contributes 8% of basic hourly rate for 5 years or more of service or 6% of basic hourly rate for 6 months to 5 years of service as vacation pay credit.

**Glaziers**

- a. Paid Holidays: Labor Day and Christmas Day.

**Power Equipment Operators**

(Heavy and Highway Construction & Building Construction)

- a. Paid Holidays: New Year's Day, Good Friday, Memorial day, Independence Day, Labor Day, Thanksgiving Day and Christmas Day, provided the employee works 3 days during the week in which the holiday falls, if scheduled, and if scheduled, the working day before and the working day after the holiday. Holidays falling on Saturday may be observed on Saturday, or if the employer so elects, on the preceding Friday.

**Ironworkers**

- a. Paid Holiday: Labor Day provided employee has been on the payroll for the 5 consecutive workdays prior to Labor Day.

**Laborers (Tunnel Construction)**

- a. Paid Holidays: New Year's Day, Memorial Day, Independence Day, Labor Day, Thanksgiving Day and Christmas Day. No employee shall be eligible for holiday pay when he fails, without cause, to work the regular workday preceding the holiday or the regular workday following the holiday.

**Roofers**

- a. Paid Holidays: July 4<sup>th</sup>, Labor Day, and Christmas Day provided the employee is employed 15 days prior to the holiday.

**Sprinkler Fitters**

- a. Paid Holidays: Memorial Day, July 4th, Labor Day, Thanksgiving Day and Christmas Day, provided the employee has been in the employment of a contractor 20 working days prior to any such paid holiday.

**Truck Drivers**

(Heavy and Highway Construction & Building Construction)

- a. Paid Holidays: New Year's Day, Memorial Day, Independence Day, Labor Day, Thanksgiving Day, Christmas day, and Good Friday, provided the employee has at least 31 calendar days of service and works the last scheduled day before and the first scheduled day after the holiday, unless excused.

Project: Hope Street Parking Lot Improvements

**Minimum Rates and Classifications  
for Heavy/Highway Construction**

H 15258

**Connecticut Department of Labor  
Wage and Workplace Standards Division**

By virtue of the authority vested in the Labor Commissioner under provisions of Section 31-53 of the General Statutes of Connecticut, as amended, the following are declared to be the prevailing rates and welfare payments and will apply only where the contract is advertised for bid within 20 days of the date on which the rates are established. Any contractor or subcontractor not obligated by agreement to pay to the welfare and pension fund shall pay this amount to each employee as part of his/her hourly wages.

Project Number: 2011-02

Project Town East Lyme

FAP Number:

State Number:

Project: Hope Street Parking Lot Improvements

<b>CLASSIFICATION</b>	<b>Hourly Rate</b>	<b>Benefits</b>
01) Asbestos/Toxic Waste Removal Laborers: Asbestos removal and encapsulation (except its removal from mechanical systems which are not to be scrapped), toxic waste removers, blasters. **See Laborers Group 5 and 7**		
1) Boilermaker	33.79	34% + 8.96
1a) Bricklayer, Cement Masons, Cement Finishers, Plasterers, Stone Masons	32.50	22.51
2) Carpenters, Piledrivermen	29.03	19.27
2a) Diver Tenders	29.03	19.27

*As of:* Monday, June 13, 2011

Project: Hope Street Parking Lot Improvements

3) Divers	37.49	19.27
4) Painters: (Bridge Construction) Brush, Roller, Blasting (Sand, Water, etc.), Spray	41.35	16.35
4a) Painters: Brush and Roller	29.17	16.35
4b) Painters: Spray Only	31.47	15.40
4c) Painters: Steel Only	30.47	15.40
4d) Painters: Blast and Spray	32.17	16.35
4e) Painters: Tanks, Tower and Swing	31.17	16.35
5) Electrician (Trade License required: E-1,2 L-5,6 C-5,6 T-1,2 L-1,2 V-1,2,7,8,9)	35.20	20.51

*As of:* Monday, June 13, 2011

Project: Hope Street Parking Lot Improvements

6) Ironworkers: (Ornamental, Reinforcing, Structural, and Precast Concrete Erection)	33.00	26.58 + a
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7) Plumbers (Trade License required: (P-1,2,6,7,8,9 J-1,2,3,4 SP-1,2) and Pipefitters (Including HVAC Work) (Trade License required: S-1,2,3,4,5,6,7,8 B-1,2,3,4 D-1,2,3,4 G-1, G-2, G-8, G-9)	39.47	23.66
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----LABORERS----- - Last updated 4/27/11

8) Group 1: Laborer (Unskilled), Common or General, acetylene burner, concrete specialist	25.75	15.60
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9) Group 2: Chain saw operators, fence and guard rail erectors, pneumatic tool operators, powdermen, air tool operator	26.00	15.60
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10) Group 3: Pipelayers (Installation of water, storm drainage or sewage lines outside of the building line with P6, P7 license)	26.25	15.60
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11) Group 4: Jackhammer/Pavement breaker (handheld); mason tenders (cement/concrete), catch basin builders, asphalt rakers, air track operators, block pavers and curb setters	26.25	15.60
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12) Group 5: Toxic waste removal (non-mechanical systems)	27.75	15.60
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Project: Hope Street Parking Lot Improvements

13) Group 6: Blasters	27.50	15.60
Group 7: Asbestos Removal, non-mechanical systems (does not include leaded joint pipe)	26.75	15.60
Group 8: Traffic control signalmen	16.00	15.60
----LABORERS (TUNNEL CONSTRUCTION, FREE AIR). Shield Drive and Liner Plate Tunnels in Free Air.---- Last updated 4/27/11----		
13a) Miners, Motormen, Mucking Machine Operators, Nozzle Men, Grout Men, Shaft & Tunnel Steel & Rodmen, Shield & Erector, Arm Operator, Cable Tenders	30.32	15.60 + a
13b) Brakemen, Trackmen	29.44	15.60 + a
----CLEANING, CONCRETE AND CAULKING TUNNEL----Last updated 4/27/11----		
14) Concrete Workers, Form Movers, and Strippers	29.44	15.60 + a

As of: Monday, June 13, 2011

Project: Hope Street Parking Lot Improvements

15) Form Erectors	29.74	15.60 + a
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----ROCK SHAFT LINING, CONCRETE, LINING OF SAME AND  
TUNNEL IN FREE AIR:----Last updated 4/27/11----

16) Brakemen, Trackmen, Tunnel Laborers, Shaft Laborers	29.44	15.60 + a
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17) Laborers Topside, Cage Tenders, Bellman	29.33	15.60 + a
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18) Miners	30.32	15.60 + a
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----TUNNELS, CAISSON AND CYLINDER WORK IN COMPRESSED  
AIR: ----Last updated 4/27/11----

18a) Blaster	35.213	15.60 + a
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19) Brakemen, Trackmen, Groutman, Laborers, Outside Lock Tender, Gauge Tenders	35.036	15.60 + a
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*As of:* Monday, June 13, 2011

Project: Hope Street Parking Lot Improvements

20) Change House Attendants, Powder Watchmen, Top on Iron Bolts	33.268	15.60 + a
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21) Mucking Machine Operator	35.745	15.60 + a
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----TRUCK DRIVERS----(\*see note below)

Two axle trucks	27.88	14.53 + a
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Three axle trucks; two axle ready mix	27.98	14.53 + a
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Three axle ready mix	28.03	14.53 + a
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Four axle trucks, heavy duty trailer (up to 40 tons)	28.08	14.53 + a
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Four axle ready-mix	28.13	14.53 + a
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*As of:* Monday, June 13, 2011



Project: Hope Street Parking Lot Improvements

Heavy duty trailer (40 tons and over)	28.33	14.53 + a
Specialized earth moving equipment other than conventional type on-the road trucks and semi-trailer (including Euclids)	28.13	14.53 + a

----POWER EQUIPMENT OPERATORS----

Group 1: Crane handling or erecting structural steel or stone, hoisting engineer (2 drums or over), front end loader (7 cubic yards or over), Work Boat 26 ft. & Over. (Trade License Required)	35.05	18.60 + a
Group 2: Cranes (100 ton rate capacity and over); Backhoe/Excavator over 2 cubic yards; Piledriver (\$3.00 premium when operator controls hammer). (Trade License Required)	34.73	18.60 + a
Group 3: Excavator; Cranes (under 100 ton rated capacity), Gradall; Master Mechanic; Hoisting Engineer (all types of equipment where a drum and cable are used to hoist or drag material regardless of motive power of operation), Rubber Tire Excavator (Drott-1085 or similar); Grader Operator; Bulldozer Fine Grade (slopes, shaping, laser or GPS, etc.). (Trade License Required)	33.99	18.60 + a
Group 4: Trenching Machines; Lighter Derrick; Concrete Finishing Machine; CMI Machine or Similar; Koehring Loader (Skooper)	33.60	18.60 + a
Group 5: Specialty Railroad Equipment; Asphalt Paver; Asphalt Spreader; Asphalt Reclaiming Machine; Line Grinder; Concrete Pumps; Drills with Self Contained Power Units; Boring Machine; Post Hole Digger; Auger; Pounder; Well Digger; Milling Machine (over 24" Mandrell)	33.01	18.60 + a

Project: Hope Street Parking Lot Improvements

Group 5 continued: Side Boom; Combination Hoe and Loader; Directional Driller.	33.01	18.60 + a
Group 6: Front End Loader (3 up to 7 cubic yards); Bulldozer (rough grade dozer).	32.70	18.60 + a
Group 7: Asphalt Roller; Concrete Saws and Cutters (ride on types); Vermeer Concrete Cutter; Stump Grinder; Scraper; Snooper; Skidder; Milling Machine (24" and Under Mandrel).	32.36	18.60 + a
Group 8: Mechanic, Grease Truck Operator, Hydroblaster, Barrier Mover, Power Stone Spreader; Welder; Work Boat under 26 ft.; Transfer Machine.	31.96	18.60 + a
Group 9: Front End Loader (under 3 cubic yards), Skid Steer Loader regardless of attachments (Bobcat or Similar); Fork Lift, Power Chipper; Landscape Equipment (including hydroseeder).	31.53	18.60 + a
Group 10: Vibratory Hammer, Ice Machine, Diesel and Air Hammer, etc.	29.49	18.60 + a
Group 11: Conveyor, Earth Roller; Power Pavement Breaker (whiphammer), Robot Demolition Equipment.	29.49	18.60 + a
Group 12: Wellpoint Operator.	29.43	18.60 + a

Project: Hope Street Parking Lot Improvements

Group 13: Compressor Battery Operator.	28.85	18.60 + a
Group 14: Elevator Operator; Tow Motor Operator (Solid Tire No Rough Terrain).	27.71	18.60 + a
Group 15: Generator Operator; Compressor Operator; Pump Operator; Welding Machine Operator; Heater Operator.	27.30	18.60 + a
Group 16: Maintenance Engineer/Oiler	26.65	18.60 + a
Group 17: Portable asphalt plant operator; portable crusher plant operator; portable concrete plant operator.	30.96	18.60 + a
Group 18: Power Safety Boat; Vacuum Truck; Zim Mixer; Sweeper; (minimum for any job requiring CDL license).	28.54	18.60 + a

**\*\*NOTE: SEE BELOW**

----LINE CONSTRUCTION----(Railroad Construction and Maintenance)----Last updated 9/3/2010----

Project: Hope Street Parking Lot Improvements

20) Lineman, Cable Splicer, Dynamite Man 44.36 3% + 13.70

21) Heavy Equipment Operator 39.92 3% + 13.70

22) Equipment Operator, Tractor Trailer Driver, Material Men 37.71 3% + 13.70

23) Driver Groundmen 33.27 3% + 13.70

----LINE CONSTRUCTION----Last updated 4/17/09----

24) Driver Groundmen 30.92 6.5% + 9.70

25) Groundmen 22.67 6.5% + 6.20

26) Heavy Equipment Operators 37.10 6.5% + 10.70

*As of:* Monday, June 13, 2011

Project: Hope Street Parking Lot Improvements

27) Linemen, Cable Splicers, Dynamite Men	41.22	6.5% + 12.20
28) Material Men, Tractor Trailer Drivers, Equipment Operators	35.04	6.5% + 10.45

Project: Hope Street Parking Lot Improvements

*Welders: Rate for craft to which welding is incidental.*

*\*Note: Hazardous waste removal work receives additional \$1.25 per hour for truck drivers.*

*\*\*Note: Hazardous waste premium \$3.00 per hour over classified rate*

Crane with 150 ft. boom (including jib) - \$1.50 extra  
Crane with 200 ft. boom (including jib) - \$2.50 extra  
Crane with 250 ft. boom (including jib) - \$5.00 extra  
Crane with 300 ft. boom (including jib) - \$7.00 extra  
Crane with 400 ft. boom (including jib) - \$10.00 extra

All classifications that indicate a percentage of the fringe benefits must be calculated at the percentage rate times the "base hourly rate".

Apprentices duly registered under the Commissioner of Labor's regulations on "Work Training Standards for Apprenticeship and Training Programs" Section 31-51-d-1 to 12, are allowed to be paid the appropriate percentage of the prevailing journeymen hourly base and the full fringe benefit rate, providing the work site ratio shall not be less than one full-time journeyman instructing and supervising the work of each apprentice in a specific trade.

*~Connecticut General Statute Section 31-55a: Annual Adjustments to wage rates by contractors doing state work ~*

*The Prevailing wage rates applicable to this project are subject to annual adjustments each July 1st for the duration of the project.*

*Each contractor shall pay the annual adjusted prevailing wage rate that is in effect each July 1st, as posted by the Department of Labor.*

*It is the contractor's responsibility to obtain the annual adjusted prevailing wage rate increases directly from the Department of Labor's website.*

*The annual adjustments will be posted on the Department of Labor's Web page: [www.ct.gov/dol](http://www.ct.gov/dol).*

*The Department of Labor will continue to issue the initial prevailing wage rate schedule to the Contracting Agency for the project.*

*All subsequent annual adjustments will be posted on our Web Site for contractor access.*

*As of:* Monday, June 13, 2011

Project: Hope Street Parking Lot Improvements

*Effective October 1, 2005 - Public Act 05-50: any person performing the work of any mechanic, laborer, or worker shall be paid prevailing wage*

All Person who perform work ON SITE must be paid prevailing wage for the appropriate mechanic, laborer, or worker classification.

All certified payrolls must list the hours worked and wages paid to All Persons who perform work ON SITE regardless of their ownership i.e.: (Owners, Corporate Officers, LLC Members, Independent Contractors, et. al)

Reporting and payment of wages is required regardless of any contractual relationship alleged to exist between the contractor and such person.

**~~Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clause (29 CFR 5.5 (a) (1) (ii)).**

Please direct any questions which you may have pertaining to classification of work and payment of prevailing wages to the Wage and Workplace Standards Division, telephone (860)263-6790.

## **PART 3 – GENERAL SPECIFICATIONS**



**NOTICE TO CONTRACTOR  
INTERPRETATION OF FORM 816**

The State of Connecticut, Department of Transportation, Standard Specifications for Roads, Bridges and Incidental Construction Form 816 is used as a reference for this project. Whenever the specifications in Form 816, read "Commissioner" it shall be construed to mean "East Lyme First Selectmen". Wherever the specifications read "State" it shall be construed to mean "Town of East Lyme".

## **SECTION 1.01 – DEFINITION OF TERMS AND PERMISSIBLE ABBREVIATIONS**

Under the following Article replace the definitions with the following:

### **Article 1.01.01 – Definitions:**

COMMISSIONER: Shall mean the Town of East First Selectman or his/her agents.

DEPARTMENT: Shall mean the Town of East Lyme.

ENGINEER: Shall mean the Town of East Lyme Town Engineer or his agents.

STATE: Shall mean the Town of East Lyme.

TRANSPORTATION MANAGER OF CONTRACTS: Shall mean the Town of East Lyme Public Works Director or his agents.

DEPARTMENT'S ASSISTANT DISTRICT ENGINEER: Shall mean the Town of East Lyme Public Works Director or his agents.

OWNER: Shall mean the Town of East Lyme.

## **PART 4 – PROJECT SPECIFICATIONS**

## **ITEM NO. 0020801 – BUILDING DEMOLITION**

The existence of Hazardous materials has been investigated and their impact on this project has been investigated within a Pre-Demolition Survey. Asbestos-containing materials, other hazardous materials and lead based paint were found in collected samples. (See the Pre-Demolition Survey in the Appendix)

The contractor shall provide a demolition plan by a licensed demolition contractor in accordance with all State and Federal regulations for removal and disposal (mitigation) of all hazardous material. This plan must be approved by the Town of East Lyme prior to demolition.

The contractor is responsible for the electric disconnect. The Town will pay the utility bill until the disconnect occurs.

For **Description** (General), **Materials** (Products) and **Construction Methods** (Execution) see the Building Demolition Specifications in the Appendix.

For **Method of Measurement** and **Basis of Payment**, this work shall be measured for payment and shall be paid for at the contract lump sum for "Building Demolition" completed including all materials, equipment, tools, and labor incidental thereto.

## **ITEM NO. 0062680 – ADA DETECTABLE (TACTILE) WARNING PAVERS**

**Description:** This item shall consist of furnishing and installing Pavestone ADA (Americans with Disabilities Act) style brick pavers on concrete and processed aggregate base at the sidewalk ramps in the locations and patterns shown on the plans or as ordered by the Engineer.

**Materials:** The brick pavers shall be 2 3/8", Pavestone type "ADA" and "Oaks Blend" color as supplied by the manufacturer. Bedding sand gradation shall be in accordance with ASTM C-33, joint sand gradation shall be in accordance with ASTM C-144. Contractor shall furnish and install processed aggregate and concrete base as shown on the plans.

**Construction Methods:** The installation of the brick pavers shall be in accordance with the details contained in the plans.

**Method of Measurement:** This work shall be measured for payment by the number of square feet of brick pavers installed complete and accepted in place.

**Basis of Payment:** This work will be paid for at the contract unit price per square feet (SF) for brick pavers complete in place, which price shall include all materials, equipment, tools, and labor incidental thereto. Removal of any

Pay Item  
ADA Detectable (Tactile) Warning Pavers

Pay Unit  
SF

**ITEM NO. 0201001 – CLEARING AND GRUBBING**

Refer to Section 2.01 and corresponding sections of the 816

**ITEM NO. 0202002 – EARTH EXCAVATION**

Refer to Section 2.02 and corresponding sections of the 816

**ITEM NO. 0202529 – CUT BITUMINOUS CONCRETE PAVEMENT**

Refer to Section 2.02 and corresponding materials sections of the 816

**2.02.04 – Method of measurement**

*Add the following:*

Additional sawcut required to correct any damage to cut edges or to correct improperly cut edges shall not be included in the measurement for payment.

**ITEM NO. 0212001 – SUBBASE (BANK RUN GRAVEL)**

Refer to Section 2.12, corresponding materials sections of the 816 and per details shown on plans.

**ITEM NO. 0406011 – BITUMINOUS CONCRETE CLASS 2 OVER CLASS 1**

Refer to Section 4.06 and corresponding materials sections of the 816

**4.0601 Description:**

*Change the following:*

This item shall consist of furnishing, installing, Class I Bituminous concrete and processed aggregate base in the locations and depths shown on the plans.

**4.06.05 – Basis of Payment**

*Change the following:*

Removal of any existing curbing, asphalt, concrete, or any other items or materials required to complete construction shall be included in the cost of installation.

<u>Pay Item</u>	<u>Pay Unit</u>
Bituminous Concrete Class 2 over Class 1	SY

### **ITEM NO. 0507001 – TYPE “C” CATCH BASIN**

Refer to Section 5.07 and corresponding materials sections of the 816

### **ITEM NO. 0507903 – CONCRETE DRYWELL**

Refer to Section 5.07 and corresponding materials sections of the 816

#### **5.07.01 – Description**

*Change the following:*

Under this heading shall be included the construction of all **drywells**, catch basins, junction boxes, manholes and drop inlets (and also the alteration, reconstruction or conversion of such existing structures) all in conformity with the lines, grades, dimensions and details shown on the plans, or as ordered, and in accordance with the provisions of these specifications for the various materials and work which constitute the completed structure.

#### **5.07.04 – Method of Measurement**

*Change the following:*

Construction, reconstruction and conversion of **drywells**, catch basins, manholes and drop inlets will be measured as units.

#### **5.07.05 – Basis of Payment**

*Change the following:*

**2. Drywells, Catch Basins, Junction Boxes and Manholes** having a depth of not over 10 feet (3 meters) will be paid for at the contract unit price each for "Drywell", "Junction Box," "Catch Basin," of the type specified, or "Manhole," of the type specified, complete in place, which price shall include all materials, equipment, tools and labor incidental thereto.

**ITEM NO. 0651630 – 15” HDPE DUAL-WALL PERFORATED STORM DRAIN PIPE**

Refer to Section 6.51 and corresponding materials sections of the 816

**6.51.02 – Materials**

*Change the following:*

Pipes shall be HDPE, dual-wall, smooth interior and corrugated exterior, and conform to AASHTO M294, Type S. Pipe shall be joined using bell & spigot meeting AASHTO M294. Joint shall be soil-tight and gaskets shall meet ASTM F477. Alternative HDPE pipe may be used if approved by Town Engineer. Pipes shall be perforated per details and shown at locations on plans.

Granular fill shall conform to the requirements of Article M.02.01.

**6.51.03 – Construction Methods**

*Add the following:*

**For HDPE Pipe:** Installation shall be in accordance with ASTM D2321.

**6.51.04 – Methods of Measurement**

*Add the following:*

**10. HDPE Pipe** will be measured for payment by the number of linear feet of pipe installed complete and accepted in place along the invert. Coupling bands and fittings will not be measured.

**6.51.05 – Basis of Payment**

*Add the following:*

**10. HDPE Pipe** will be paid for at the contract unit price per linear feet (LF) for HDPE pipe complete in place, which price shall include all materials, equipment, tools, and labor incidental thereto.

**ITEM NO. 0815001 – BITUMINOUS CONCRETE CURB**

Refer to Section 8.15 and corresponding materials sections of the 816

**ITEM NO. 0921001 – 5” CONCRETE SIDEWALK**

Refer to Section 9.21 and corresponding materials sections of the 816

#### **9.21.04 – Method of Measurement**

*Change the following:*

3. Excavation above the finished grade of the sidewalk shall be included in the unit cost of this item.

*Add the following:*

4. All existing curbing, pavement, concrete, handicap ramps or other materials and items to be removed for the installation of new sidewalk shall be included in the cost of concrete sidewalk.

#### **ITEM NO. 0921002 – 8” CONCRETE SIDEWALK AT DRIVEWAY**

Refer to Section 9.21 and corresponding materials sections of the 816

#### **ITEM NO. 0921007 – “STORMCRETE” SIDEWALK AT ISLAND**

Refer to Section 9.21 and corresponding materials sections of the 816

#### **9.21.01 – Description**

*Change the following:*

This item shall consist of “Stormcrete” sidewalks constructed on stone bedding and reservoir courses at locations shown on plans or as ordered and in accordance with these specifications.

#### **9.21.02 – Description**

*Add the following:*

“Stormcrete” Sidewalk shall be 5” thick 5’x8’ precast sections furnished by the Town of East Lyme.

#### **9.21.03 – Description**

*Add the following:*

**7. Installation:** The contractor shall provide all machines and/or equipment necessary to install “Stormcrete” sidewalk per details.

#### **9.21.04 – Method of Measurement**

*Change the following:*

**1. “Stormcrete” Sidewalk:** This work will be measured by the actual number of square feet of completed and accepted Stormcrete sidewalk.



**2. Excavation** above the finished grade of the sidewalk shall be included in the unit cost of this item.

*Add the following:*

4. All existing curbing, pavement, concrete, handicap ramps or other materials and items to be removed for the installation of new sidewalk shall be included in the cost of concrete sidewalk.

**9.21.05—Basis of Payment:** This work will be paid for at the contract unit price per square foot for "'Stormcrete' Sidewalk," complete in place, which price shall include all excavation as specified above, backfill, disposal of surplus material, gravel or reclaimed miscellaneous aggregate base, equipment, tools, materials and labor incidental thereto.

#### **ITEM NO. 0950005 - TURF ESTABLISHMENT**

Refer to Section 9.50 and corresponding materials sections of the 816

#### **ITEM NO. 1002110 – DECORATIVE LIGHT POLE BASE**

Refer to Section 10.02 and corresponding materials sections of the 816

##### **10.02.01 – Description**

*Add the following:*

Contractor shall install round concrete base, trenching and 2" PVC electrical conduit. Light pole bases shall be furnished by the Town of East Lyme. Light poles, decorative fixtures and wiring shall be by others.

#### **ITEM NO. 1008127 – 2" PVC ELECTRIC CONDUIT**

Refer to Section 10.08 and corresponding materials sections of the 816

##### **10.08.01 – Description**

*Add the following:*

Note: The number of linear feet of this item may be reduced or increased during the course of construction. The unit price will remain the same for the changed quantity of conduit in trench.

### **10.08.02 – Materials**

*Add the following:*

One (1) ¼" polypropylene pull cord shall be provided and installed in all conduits in trench.

### **ITEM NO. 1302061 – ADJUST WATER GATE BOX**

**Description:** The Contractor shall adjust to final grade the gate boxes and covers appurtenant to the water mains, as required, and shall furnish and install extension rings, extension stems, and air valve extensions, if necessary, as shown on the construction drawings or as directed by the Town Engineer in accordance with these specifications. If the East Lyme Water department determines that a water gate box needs to be replaced the Town will provide a new gate box at no cost to the contractor. Installation of the new water gate box provided by the Town will be included in this pay item.

**Materials:** The Contractor shall furnish or obtain materials as required and extension stems, if necessary, which conform to the specific utilities requirements. All additional materials, including any resurfacing materials and any additional fill required, shall be furnished and placed by the contractor. Gravel shall conform to Article M.02.01. If the East Lyme Water department determines that a water gate box needs to be replaced the Town will provide a new gate box at no cost to the contractor. Installation of the new water gate box provided by the Town will be included in this pay item.

**Construction Methods:** The contractor shall carefully excavate around the gate boxes, remove the boxes, install extension stems and air valve extensions, if necessary, and refill the excavation. Care shall be taken to prevent material from filling the inside of the gate box. Any damage done to the water utility facilities by the contractor shall be repaired or replaced by the contractor at the contractor's own expense.

All utility work shall be coordinated with the East Lyme Water and Sewer Department.

**Method of Measurement:** The adjusting of gate boxes, complete with extension stems, air valve extensions, gate box extension rings, and additional top or bottom sections, if necessary, will be measured for payment as a unit. Where multiple adjustments of a gate box are required, this work shall be measure for payment only once, regardless of the number of adjustment performed.

**Basis of Payment:** This work will be paid for at the contract unit price for "Adjust Water Gate Box" complete in place, which price shall include all materials, equipment, tools, and labor incidental thereto. It shall also include the clearing, trenching, and disposal of excavated materials, refilling trenches, furnishing the additional material for refilling, grading, sheeting, bracing, and pumping. Installation of a new water gate box provided by the Town will be included in this pay item.

Pay Item  
Adjust Water Gate Box

Pay Unit  
Ea